

GP/3714
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PTO/SB21 (6-98)

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Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

14

Application Number

09/939,014

Filing Date

August 24, 2001

First Named Inventor

Janet M. Wasowicz

Group Art Unit

3714

Examiner Name

Chanda L. Harris

Attorney Docket Number

2100632-991122

<input checked="" type="checkbox"/> Fee Transmittal Form (1 pg.)	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s): 21 sheets	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment with Appendix A attached (13 pgs.)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Associate Power of Attorney,	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input checked="" type="checkbox"/> Terminal Disclaimer	Petition for Extension of Time (1 pg.);
<input checked="" type="checkbox"/> Information Disclosure Statement w/Information Disclosure Citation	<input type="checkbox"/> Small Entity Statement	Response A to Office Action (4 pgs.);
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	IDS References: 53 US Patents and 20 Articles;
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	Check # <u>514244</u> for \$290.00;
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		Certificate of First Class Mailing (1 pg);
		Return Postcard

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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Timothy W. Lohse (Reg. No. 35,255) Gray Cary Ware Freidenrich LLP
Signature	
Date	July 19, 2002

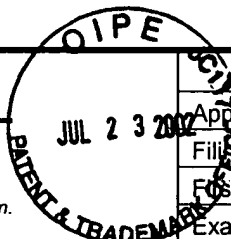
CERTIFICATE OF MAILING

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Typed or printed name	Cathy Pittman
Signature	
Date	July 19, 2002

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.



Complete if Known

Application Number	09/939,014
Filing Date	August 24, 2001
First Named Inventor	Janet M. Wasowicz
Examiner Name	Chanda L. Harris
Group Art Unit	3714
Attorney Docket No.	2100632-991122

TOTAL AMOUNT OF PAYMENT (\$) 290.00

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

07-1896

Deposit
Account
Name

Gray Cary Ware & Freidenrich

- ☒ Charge Any additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
-20 =		9	0
Independent Claims - 3 =		42	0
Multiple Dependent			

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	80	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	55
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	180
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) Terminal Disclaimer					55

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 290.00

SUBMITTED BY

Name (Print/Type)

Timothy W. Lohse

Registration No.
(Attorney/Agent)

35,255

Signature

Complete (if applicable)

Telephone

650-320-7426

Date

July 19, 2002



Attorney Docket No. 2100632-991122

UNITED STATES PATENT AND TRADEMARK OFFICE

DATE OF DEPOSIT: July 19, 2002

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail on the date indicated above and is addressed to: Commissioner for Patents; Washington, DC 20231.


Cathy Pittman

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the following documents pertaining to:

Application S/N: 09/939,014
Filing Date: August 24, 2001
INVENTOR(s): Janet M. Wasowicz
Attorney Docket No.: 2100632-991122

For: DIAGNOSTIC SYSTEM AND METHOD FOR PHONOLOGICAL
AWARENESS, PHONOLOGICAL PROCESSING, AND
READING SKILL TESTING

Enclosed are:

- 1) Transmittal Form (1 pg.);
- 2) Petition for Extension of Time (1 pg.);
- 3) Response A to Office Action (4 pgs.);
- 4) Terminal Disclaimer (1 pg.);
- 5) Information Disclosure Statement w/PTO-Form 1449 (4 pgs.);
- 6) Copies of IDS References: 53 US Patents; 20 Articles;
- 7) Fee Transmittal (1 pg.);
- 8) Check # 514344 for \$290.00;
- 9) Certificate of First Class Mailing (1 pg.); and
- 10) Return Postcard

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